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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2161

In Re PATENT APPLICATION OF

Applicants : Eiichi HATAKEYAMA

Appln. No. : 10/046,709

Filed : January 17, 2002

Conf. No. : 6076

For : METHOD FOR INVESTMENT
MANAGEMENT

Atty. Dkt. : 32307-177799

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GROUP 3600

INFORMATION
DISCLOSURE
STATEMENT



26694

PATENT TRADEMARK
OFFICE

January 6, 2003

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. 1.97 ff within the time specified in 37 C.F.R. 1.97(b).

Attached is a copy of a Japanese Official Action dated October 4, 2002 received in a corresponding Japanese Patent Application together with copies of the four cited documents. An English translation of the Action is also being submitted herewith. The relevance of the publications is discussed in the Action. All of the publications are listed on an enclosed Form PTO-1449.

As all requirements of 37 C.F.R. 1.97 ff, and all official guidelines pertaining to Information Disclosure Statements, have been complied with, it is respectfully requested that the Examiner consider the publications and make them of record.

Respectfully submitted,

January 6, 2003
Date

Ashley J. Wells
Ashley J. Wells
(Registration No. 29,847)
VENABLE
Post Office Box 34385
Washington, DC 20043-9998
Telephone: (202) 962-4800
Telefax : (202) 962-8300



FORM PTO-1449 INFORMATION DISCLOSURE CITATION		Atty Docket: 32307-177799		Application No.: 10/046,709		
Applicant: Eiichi HAYAKESAMA						
Filing Date: January 17, 2002				Group: 2161		
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Sub - Class	Filing Date
	AA		<div style="border: 1px solid black; padding: 5px; transform: rotate(-5deg);"> RECEIVED JAN 0 8 2003 GROUP 2 </div>			
	AB					
	AC					
FOREIGN PATENT DOCUMENTS						
Examiner Initial				Class	Sub - Class	Translation Yes <input type="checkbox"/> No <input type="checkbox"/>
	AF	2000-268111	9-29-2000	Japan Abstract		
	AG	2001-325430	11-22-2001	Japan Abstract		
	AH					
	AH					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
	AO	"Eco-Total, formation of intellectual property trust paves a way for raising funds by entrepreneurs" Nikkan Kogyo Newspaper, Feb 12, 1999.				
	AP	"Has David Bowie Started a New Era of Celebrity Securitizations?" Mullen et al., The Securitization Conduit, Vol. 1, No. 1, 1998, pps. 13-21.				
	AQ					
Examiner				Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.						